

Cities Alliance
Budget and Forecast Summary
For the period January - December 2016

APPROVED BY INTERIM MANAGEMENT BOARD ON 1 JANUARY 2016

	2016	2015	
	Budget (USD)	Forecast 31 Dec 2015 (USD)	Budget (USD)
Contribution - Unrestricted	4,283,176	4,755,923	5,153,176
Contribution - Temporary Restricted	2,736,364	1,012,907	1,712,907
Project Funding - Restricted	2,362,208	8,491,721	5,956,836
TOTAL REVENUE	9,381,748	14,260,551	12,822,919
Programme Grants & Other Activities	12,545,815	11,495,982	13,671,810
Operating Expenses	6,134,903	4,750,718	7,127,384
TOTAL GRANTS & OPERATING EXPENSES	18,680,718	16,246,700	20,799,194
INCREASE / (DECREASE) NET ASSETS	(9,298,970)	(1,986,149)	(7,976,275)
UNALLOCATED FUNDS CARRIED FORWARD	13,568,993	15,555,142	15,555,142
UNALLOCATED FUNDS BROUGHT FORWARD	4,270,023	13,568,993	7,578,867

Cities Alliance
Programme Budget - Core and Non-Core
January - December 2016

APPROVED BY INTERIM MANAGEMENT BOARD ON 1 JANUARY 2016

	FY2016 in USD						FY2015 in USD	Assumptions & Notes	
	Core	Non-Core Gates Interm	Non-Core Gates LSC	FCA Project DFID	Liberia CP Comic Relief	Total	Forecast 31 Dec 2015		
A. CONTRIBUTIONS/GRANTS/INTEREST									
<i>Expected Cash Contributions/grants/interest</i>									
1	AVSI Foundation	10,000	-	-	-	10,000	-		
2	Brazil-Caixa	100,000	-	-	-	100,000	200,000		
3	Chile	70,000	-	-	-	70,000	-		
4	CLGF	10,000	-	-	-	10,000	10,000		
5	Ethiopia	50,000	-	-	-	50,000	-		
6	France-MFA	100,000	-	-	-	100,000	112,907		
7	Germany-BMZ	284,875	-	-	-	284,875	284,875		
8	HFHI	50,000	-	-	-	50,000	50,000		
9	ICLEI-Local governments for Sustainability	10,000	-	-	-	10,000	-		
10	IHS	10,000	-	-	-	10,000	-		
11	Omidyar Network	500,000	-	-	-	500,000	-		
12	SDI	50,000	-	-	-	50,000	50,000		
13	South Africa	50,000	-	-	-	50,000	-		
14	Sweden-Sida	1,623,751	-	-	-	1,623,751	1,623,751		
15	Switzerland-SECO	1,600,000	-	-	-	1,600,000	900,000		
16	UCLG	10,000	-	-	-	10,000	-		
17	UK-DFID	1,530,914	-	-	-	1,530,914	7,836,536		
18	UNEP	50,000	-	-	-	50,000	-		
19	UN-Habitat	50,000	-	-	-	50,000	100,000		
20	WIEGO	10,000	-	-	-	10,000	9,982		
21	World Bank - DGF	500,000	-	-	-	500,000	500,000		
22	World Bank - MDTF Residue Transfer	300,000	-	-	-	300,000	1,500,000		
23	BMG Foundation	-	800,000	-	-	800,000	1,000,000		
24	COMIC Relief	-	-	-	1,533,208	1,533,208	-	1	
25	Total Expected Contributions/Income	6,969,540	800,000	-	-	1,533,208	9,302,748	14,178,051	
26	Interest Earned	50,000	4,000	25,000	-	79,000	82,500		
27	Total Contributions/Grants & Interest	7,019,540	804,000	25,000	-	1,533,208	9,381,748	14,260,551	
B. EXPENDITURE - OPERATING COSTS & PROGRAMME GRANTS & ACTIVITIES									
I. SECRETARIAT OPERATING COSTS & UNOPS MANAGEMENT FEES									
(i) Governance , Finance & Administration									
28	Staff Costs	1,896,048	-	-	-	1,896,048	1,340,791	2	
29	Staff training & development	30,000	-	-	-	30,000	26,463	3	
30	Corporate Travel	150,000	-	-	-	150,000	85,423	4	
31	Corporate Consultancy Services	201,000	-	-	-	201,000	133,337	5	
32	Corporate Events	150,000	-	-	-	150,000	10,570	6	
33	Overheads - Office lease, Software, Database & Website hosting	242,000	100,000	-	-	342,000	178,075	7	
34	Regional Offices operating costs	-	-	-	-	-	19,130		
35	Programme Audit	75,000	-	-	-	75,000	-	8	
36	Sub-total Governance, Finance & Administration	2,744,048	100,000	-	-	2,844,048	1,793,789		
(ii) Programme Operations & Support									
37	Staff Costs	1,139,922	378,658	-	-	1,518,580	1,408,874	2	
38	Staff training & development	40,000	7,500	-	-	47,500	45,432	3	
39	Technical Supervision of Projects [consultants' fees, travel and staff travel costs]	100,000	120,000	-	-	220,000	269,080	9	
40	Learning and Knowledge Sharing (workshops/exchanges)	-	68,000	-	-	68,000	292,490	10	
41	Sub-total Programme Support & Operations	1,279,922	574,158	-	-	1,854,080	2,015,876		
42	Sub-Total Secretariat Operating Costs	4,023,970	674,158	-	-	4,698,128	3,809,665		
(iii) UNOPS Costs and Management Fees									
43	Management Fee and Direct Allocable Costs	758,205	119,801	195,971	283,598	79,200	1,436,775	941,052	11
44	Total- Sec Operating Costs & UNOPS Fees	4,782,175	793,959	195,971	283,598	79,200	6,134,903	4,750,717	

A. CONTRIBUTIONS/GRANTS/INTEREST	FY2016 in USD						FY2015 in USD	Assumptions & Notes
	Core	Non-Core Gates Intern	Non-Core Gates LSC	FCA Project DFID	Liberia CP Comic Relief	Total	Forecast 31 Dec 2015	
II. ALLOCATION OF FUNDS - PROGRAMME GRANTS & ACTIVITIES								
45 Country Programmes Grants & Activities	1,820,500	475,000	988,177	-	1,340,000	4,623,677	3,041,117	12
46 Catalytic Fund Grants & Activities	1,633,967	-	-	-	-	1,633,967	2,372,658	13
47 Regional Strategies - Grants & Activities (Africa, Asia & LAC)	906,000	120,000	-	-	-	1,026,000	1,440,748	14
48 Joint Work Programmes (EEG, Gender, HabitatIII & Resilience)	2,037,000	-	-	-	-	2,037,000	419,349	15
49 Other Strategic Initiatives	155,000	-	-	-	-	155,000	160,000	16
50 FCA Project	-	-	-	2,800,171	-	2,800,171	4,017,129	See attached
51 Monitoring and Evaluation	70,000	100,000	100,000	-	-	270,000	44,981	17
52 Sub-Total- Programme Grants & Activities	6,622,467	695,000	1,088,177	2,800,171	1,340,000	12,545,815	11,495,982	
53 GRANT TOTAL OF ALL EXPENDITURES	11,404,642	1,488,959	1,284,148	3,083,769	1,419,200	18,680,718	16,246,699	
54 Surplus/Deficit (Contributions/Grant/Interest-Expenditures)	(4,385,102)	(684,959)	(1,259,148)	(3,083,769)	114,008	(9,298,970)	(1,986,148)	
55 Unallocated Funds Brought Forward FY15	8,171,019	1,039,442	1,266,521	3,092,012	-	13,568,994	15,555,142	
56 Unallocated Funds Carried Forward FY17	3,785,917	354,483	7,373	8,243	114,008	4,270,024	13,568,994	

Budget Assumptions & Notes

1 **COMIC Relief** : Agreement for 5 years is expected to be signed early January 2016.

2 **Staff Costs**: *Governance, Finance & Admin* - costs for salaries and benefits of 12 staff for full year + 2 new hire for an average of 6 months (Deputy Director and Head of Finance & Operations) and 1 full year for Financial Management Specialist), *Programme Operations* - costs of salaries & benefits: 10 staff for full year and *Gates Intern*: 2 staff for full year.

3 **Staff training & development**: Cost of basic training in UNOPS systems & Project Management and other learning activities

4 **Corporate Travel**: Cost of CA Secretariat travel for Partnership Building and Business Development incl. representation, member relations, fundraising.

5 **Corporate Consultancy Services**: Cost of short term consultants and other contractual services for production of general advocacy/fundraising materials, publications, development of Strategy & Business Plan and advisory services to CA management.

6 **Corporate Events - all from Core Funds:**

Assembly of CA members - Brussels in April 2016

Board meetings/Working groups and small events in Brussels

Total allocation (USD)

Core
100,000
50,000
150,000

7 **Office Operating Costs:**

Office lease

Database/webhosting /Software applications/ICT support

Telephone, utilities, office supplies, freight and miscellaneous expenses

Total allocation (USD)

Core **Gates Inter.** **Total**
90,000
100,000
52,000
242,000 **100,000** **342,000**

8 **Programme Audit**: Estimated Costs for CA audit

9 **Technical Supervision of Projects**: Cost of supervision for all grants (excl. Country Programmes), incl. staff travel, consultancy services and travel. Costs of Task Managers' supervision for Country Programmes, JWPs and regional activities are included in these programmes (see notes below).

10 **Learning and Knowledge Sharing for Gates Intermediation Grantees/staff (workshops/exchanges):**

Grantee Learning (MILE Revenue Mgmt, Strategic Mgmt, Water & Sanitation Master Classes, etc.)

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BMGF Consultation and Lessons Learned at BMGF HQ, Seattle

Total (USD)

Gates Inter.
15,000
15,000
15,000
15,000
8,000
68,000

11 UNOPS Charges & Management Fees:

(a) General Management fee charge : 4% on grants + 8% on all other expenditures. (See SOP para 60)

(b) Direct allocable costs for shared services such as insurance, IT, audits etc. at 0.0075% of all Programme Expenditures. (See SOP para 60)

12 Country Programme Grants & Activities

	Core	Gates LSC	Gates Intern	Liberia Comic Relief	Total
Burkina Faso: Grant for the Community Funds set up in the five CP cities	280,000	390,000			670,000
Burkina Faso: Management of ongoing operations (Ministry; UNH)	12,500				12,500
Ethiopia: Grant for capacity strengthening of the Ethiopian CP Coordination	250,000				250,000
Ethiopia: TA for capacity development training of MUDH			200,000		200,000
Ethiopia: Management of ongoing operations (Ministry; UNH)	10,000				10,000
Ethiopia: Resource Mobilisation	50,000				50,000
Ghana: Grant for extended support to MLGRD in preparation to H3	20,000		75,000		95,000
Ghana: TEMMA - Container Housing Cross-visit to Rotterdam	50,000				50,000
Ghana: Management of ongoing operations	10,000				10,000
Mozambique: Policy dialogues on Chamanculo C	30,000				30,000
Liberia: Grant for community engagement, slum profiling and				500,000	500,000
Liberia: Grant for organisational development and capacity building of				200,000	200,000
Liberia: Grant for assessment and development of a slum				100,000	100,000
Liberia: Grant for the setup of the Community Upgrading Fund				50,000	50,000
Liberia: Grant for the development of a CDS				50,000	50,000
Liberia: Grant for capacity development of government officials				50,000	50,000
Liberia: M&E baseline and social/environmental assessment				100,000	100,000
Liberia: HR, local office set up and supervision				290,000	290,000
Liberia: Grant for Monrovia post Ebola Hygiene Community WASH campaign			200,000		200,000
Tunisia: Programme setup (PCO, HR) and support	108,000				108,000
Tunisia: Grants 2 for \$500,000 for TA identified	1,000,000				1,000,000
All LSC countries: Grant to UNICEF		100,000			100,000
Other Country Programmes Activities		498,177			498,177
Total CP Allocation (USD)	1,820,500	988,177	475,000	1,340,000	4,523,677

13 Catalytic Fund - the budget is to cover the FY2015 call still in process and one knowledge exchange event.

14 Regional Strategies: Grants & Activities

	Core	Gates Intern.	Total
Africa: Management of ongoing operations	20,000		20,000
Africa: Policy dialogues, outreach and member support	100,000		100,000
Asia: Grant CEE Rating of Asian Cities	75,000		75,000
Asia: Grant for City Slum Upgrading Plans in India in the context of HYA	75,000		75,000
Asia: Grant to support Bangladesh National Urban Forum	25,000		25,000
Asia: Grant to support to PEARL in India and the expansion of the horizontal learning network	50,000		50,000
Asia: Grant for the development of an Indonesia Framework and related exchanges with key partners	70,000		70,000
Asia: Grant to Review of the Housing Glossary for the Philippines and support to the Housing Summit	25,000		25,000
Asia: Programme development, outreach and resource mobilisation	100,000		100,000
LAC: Management of ongoing operations	7,000		7,000
LAC: Strategy launched, approved and resource mobilisation opportunities identified	44,000		44,000
LAC: Policy dialogues in support for Habitat III - strategic policy dialogues and outreach work	75,000		75,000
LAC: Grant to document LAC best practises in housing	50,000		50,000
LAC: Grant to support the cooperation in the region Chile-Paraguay	75,000		75,000
LAC: Grant to support identified LAC national urban policies	25,000		25,000
LAC: Grant to support the gender pillar in the region	60,000		60,000
LAC: South-South exchanges and knowledge production	30,000		30,000
SDI/WIEGO/AVINA Knowledge Exchange 2 + report (Bogota)		60,000	60,000
GIZ/SDI/WIEGO/AVINA Knowledge Exchange 3 + report (Central America)		60,000	60,000
Total Allocation (USD)	906,000	120,000	1,026,000

15 Joint Work Programmes: Grants & Activities	Core			
Equitable Economic Growth JWP: Programme setup (PCO, HR) and support	352,000			
Equitable Economic Growth JWP: Grant for diagnostic work initiated in up to 10 selected countries	500,000			
Equitable Economic Growth JWP: Strategic policy dialogues and outreach work	150,000			
Gender JWP: Management of ongoing operations (SKL)				
Gender JWP: Flagship Knowledge Product developed and published	50,000			
Gender JWP: Institutionalisation of the Gender Equality Strategy including related capacity development	150,000			
Habitat III JWP: Management of ongoing operations (CAD; SDI; HFHI)	5,000			
Habitat III JWP: Grant - Recipient to be determined	100,000			
Habitat III JWP: Strategic policy dialogues and outreach work in support of H3	110,000			
Resilience JWP: Management of ongoing operations (UNH) and programme support	20,000			
Resilience JWP: Grant for 6 city energy management plans	300,000			
Resilience JWP: Grant to integrate informality into existing resilient city plans	250,000			
Resilience JWP: Strategic policy dialogues and outreach work in support of H3	50,000			
Total Allocation (USD)	2,037,000			
16 Other Strategic Initiatives:	Core			
Management of ongoing ops (Secondary Cities, N'Aerus, Triple Line, Legal Guides, JWP SU)	5,000			
Grant to support UNDP global urban strategy	150,000			
Total Allocation (USD)	155,000			
17 M&E: Implementation of Performance Management System, tools and reports:	Core	Gates Inter.	LSC	Total
Two desk evaluations	70,000			
Lesson Learned Report to document the Uganda experience		100,000		
End-of project evaluations for Ghana, Uganda and Vietnam CPs			100,000	
	70,000	100,000	100,000	270,000
18 CORE In-Kind Contributions	Core			
France - Ministry of Foreign Affairs (incl. Ministry of Cooperation) Secondment	200,000	Staff on board		
Federal Republic of Germany/GIZ Secondment	250,000	Staff on board		
Total Allocation (USD)	450,000			

Future Cities Africa
Budget and Forecast Summary
For the period January - June 2016

	2016	2015
A. CONTRIBUTIONS/GRANTS/INTEREST	Budget (USD)	Forecast 31 Dec 2015 (USD)
<u>Project Funding</u>		
UK-DFID	-	7,456,221
Total Expected Contributions/Income	-	7,456,221
Interest Earned	-	7,000
Total Contributions/Grants & Interest	-	7,463,221

B. EXPENDITURE - OPERATING COSTS & PROGRAMME GRANTS & ACTIVITIES			
Act #	Activity Description		
1	Output 1 - Staff Time	42,595	456,586
2	Output 1 - Consultants	83,500	480,332
3	Output 1 - Travel	80,000	277,452
4	Output 1 - Communication & Office Costs	65,000	78,295
5	Output 1 - Contracts and Grants	10,000	1,755
7	Output 2 - Staff Time	15,511	2,500
8	Output 2 - Consultants	117,298	92,699
9	Output 2 - Travel	30,000	35,344
10	Output 2 - Communication & Office Costs	-	-
11	Output 2 - Contracts and Grants	1,348,145	117,990
17	Output 3 - Contracts and Grants	352,694	2,407,045
19	Output 4 - Staff Time	25,113	23,531
20	Output 4 - Consultants	19,800	33,600
21	Output 4 - Travel	50,000	10,000
22	Output 4 - Communication & Office Costs	-	-
23	Output 4 - Contracts and Grants	560,515	-
25	Project Mgmt & Supervision + UNOPS fees and charges	283,598	354,080
Total		3,083,769	4,371,209